

Substitute for form 1449A/PTO		Application Number	09/470,180
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Filing Date	December 22, 1999
		First Named Inventor	Jay MERVES et al.
		Art Unit	3627
		Examiner Name	Paul Danneman
		Sheet	1 of 2

### U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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5.	US 5787403		07-28-1998	RANDLE	
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EXAMINER SIGNATURE	/Paul Danneman/	DATE CONSIDERED	04/08/2011
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.D./

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Sheet	2 of 2	Attorney Docket Number	72167.000112

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS			
*Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION
			YES/NO
	22.	FRIIS, WILLIAMS, FRIIS, Goodbye to Paper?, American Bankers Association, ABA Banking Journal, New York, March 1989, Vol. 81, Iss. 3 (5 pages).	
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	25.	KANE, EDWARD, KANE, Market Incompleteness and Divergences Between Forward and Futures Interest Rates, The Journal of Finance, Vol. 35, No. 2, Papers and Proceedings Thirty-Eighth Annual Meeting American Finance Association, Atlanta, Georgia, December 28-30, 1979 (May,	
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